

Notice on Issues Relating to Opening A-Share Securities Accounts for Hong Kong, Macau and Taiwan Residents Working and Living in China

Account opening agencies,

In order to implement the relevant regulations in the *Notice on Revising "Rules of China Securities Depository and Clearing Corporation Limited on Securities Accounts Administration"*, we have been loosening the restrictions for residents of Hong Kong, Macau and Taiwan who are working and living in China Mainland on opening A-share securities accounts starting from April 1, 2013, and relevant matters are hereby notified as follows:

I. The supporting documents for the residents of Hong Kong, Macau and Taiwan to open A-share securities accounts include the Mainland Travel Permits for Hong Kong, Macao and Taiwan Residents, the ID cards of Hong Kong, Macau and Taiwan residents, and Registration Certificates of Temporary Residence issued by the police. The ID cards of Hong Kong, Macau and Taiwan residents and Registration Certificates of Temporary Residence issued by the police will not be entered in the real-time account-opening system.

II. Relevant operations with A-share accounts of Hong Kong, Macau and Taiwan residents are handled by reference to those for A-share accounts of domestic individual investors.

III. Account opening agencies shall strengthen protection of investors from Hong Kong, Macau and Taiwan, improve services at the account opening stage, carry out promotion of and training on the domestic securities market in various forms, and distribute brochures with general information of the domestic securities market. Investors from Hong Kong, Macau and Taiwan shall acknowledge in writing that they are informed of relevant laws and regulations as well as risks concerning the domestic securities market when opening accounts.

IV. CSDC has revised the *Guidance of Procedures for Securities Accounts Administration of CSDC Shanghai Branch* which is hereby promulgated for

implementation.

China Securities Depository and Clearing Corporation Limited
Shanghai Branch
March 9, 2013